

## PART B - FEE(S) TRANSMITTAL

Complete and send this form together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

22913 7590 05/10/2005

WORKMAN NYDEGGER  
 (F/K/A WORKMAN NYDEGGER & SEELEY)  
 60 EAST SOUTH TEMPLE  
 1000 EAGLE GATE TOWER  
 SALT LAKE CITY, UT 84111

06/10/2005 TBESHAR2 00000002 10017200

01 FC:1501 1400.00 OP  
 02 FC:8001 3.00 OP

Certificate of Mailing or Transmission  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

ERIC L. MASCHOFF	(Depositor's name)
<i>E. Maschoff</i>	(Signature)
August 9, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/017,200	12/14/2001	Sol P. DiJaili	15436.247.38.1	6418

TITLE OF INVENTION: SYSTEM AND METHOD FOR WAVELENGTH CONVERSION USING A VLSEA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	08/10/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
HELLNER, MARK	3663	359-344000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. WORKMAN NYDEGGER

2.

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Finisar Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Sunnyvale, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 1

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 23-3178 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date August 9, 2005

Typed or printed name

ERIC L. MASCHOFF

Registration No. 36,596

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# WORKMAN | NYDEGGER

1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Phone: (801) 533-9800  
Fax: (801) 328-1707

## FAX TRANSMISSION COVER SHEET

---

Date: August 9, 2005  
To: United States Patent & Trademark Office  
ISSUE FEE  
Examiner Mark Hellner  
Fax: 703-746-4000  
Phone:  
From: Mandy Lomeli for Eric L. Maschoff  
Our File: 15436.247.38.1  
Application Serial No.: 10/017,200  
Filed: December 14, 2001

---

YOU SHOULD RECEIVE 5 PAGE(S), INCLUDING THIS COVER SHEET. IF  
YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL 801-533-9800

---

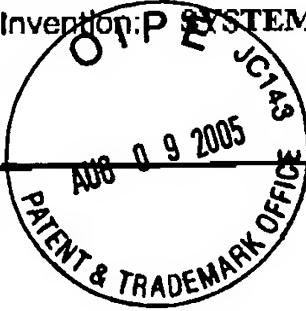
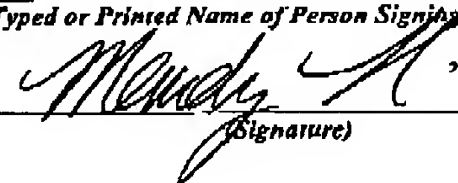
### Comments:

Please see attached.


---

### PRIVILEGED AND CONFIDENTIAL

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			<b>Docket No.</b> 15436.247.38.1
Applicant(s): Sol P. DiJaili et al.			
<b>Application No.</b> 10/017,200	<b>Filing Date</b> December 14, 2001	<b>Examiner</b> Mark Hellner	<b>Group Art Unit</b> 3663
Invention: <b>SYSTEM AND METHOD FOR WAVELENGTH CONVERSION USING A VLSOA</b>			
			
I hereby certify that this _____ <u>See Below*</u> _____ (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-746-4000</u> ) on <u>August 9, 2005</u> (Date)			
<div style="text-align: right;">Mandy Lomeli (Typed or Printed Name of Person Signing Certificate)  (Signature)</div>			
<p><b>Note: Each paper must have its own certificate of mailing.</b></p> <ul style="list-style-type: none"><li>• Issue Fee Transmittal Letter (1 pg.)</li><li>• PTOL-85B Fee Transmittal (1 pg.)</li><li>• PTO-2038 Credit Card From in amount of \$1,403.00 (1 pg.)</li><li>• Certificate of Transmission by Facsimile (1 pg.)</li></ul>			

P18/REV02

<b>TRANSMITTAL OF PAYMENT OF ISSUE FEE (Large Entity)</b> (37 C.F.R. 1.311)					Docket No. 15436.247.38.1	
Applicant(s): Sol P. DiJaili et al.						
Application No. 10/017,208	Filing Date December 14, 2001	Examiner Mark Hellner	Customer No. 022913	Group Art Unit 3663	Confirmation No. 6418	
Invention: SYSTEM AND METHOD FOR WAVELENGTH CONVERSION USING A VLSEA						
<div style="float: left; border: 1px solid black; border-radius: 50%; padding: 10px; transform: rotate(-45deg); transform-origin: center;">PTOL-85 AUG 09 2005 PATENT &amp; TRADEMARK OFFICE</div> <div style="clear: both;"></div> <p style="text-align: center;"><b>Mail Stop Issue Fee</b> <b>COMMISSIONER FOR PATENTS</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b></p> <p>Transmitted herewith are the following for the above-identified application.</p> <p><input checked="" type="checkbox"/> Issue Fee Transmittal Form PTOL-85</p> <p><input checked="" type="checkbox"/> Utility Fee: \$ 1400.00      <input type="checkbox"/> Design Fee: _____      <input type="checkbox"/> Plant Fee: _____</p> <p><input type="checkbox"/> Publication Fee: _____</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.</p> <p style="margin-left: 40px;"><input type="checkbox"/> Charge the amount of _____</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Credit any overpayment.</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Charge any additional fee required.</p> <p><input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <div style="text-align: center;"> _____ Signature</div> <div style="text-align: right;">Dated: August 9, 2005</div> <div style="margin-top: 10px;"><b>ERIC L. MASCHOFF</b> Registration No.: 36,596</div>						
CC:						
<div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><p><b>Certificate of Transmission by Facsimile</b> This certificate may only be used if paying by deposit account.</p><div style="border: 1px solid black; padding: 5px; margin-top: 10px;"><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States and Trademark Office (Fax No. _____) on _____ (Date)</p><p style="text-align: center;">_____ Signature</p><p style="text-align: center;">_____ Typed or Printed Name of Person Signing Certificate</p></div></div><div style="width: 45%;"><p><b>Certificate of Mailing by First Class Mail</b></p><div style="border: 1px solid black; padding: 5px; margin-top: 10px;"><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date)</p><p style="text-align: center;">_____ Signature of Person Mailing Correspondence</p><p style="text-align: center;">_____ Typed or Printed Name of Person Mailing Correspondence</p></div></div></div>						

P35LARGE/REV08